The Shape of the Edge of a Leaf

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Leaves and flowers frequently have a characteristic rippling pattern at their edges. Recent experiments found similar patterns in torn plastic. These patterns can be reproduced by imposing metrics upon thin sheets. The goal of this paper is to discuss a collection of analytical and numerical results for the shape of a sheet with a non-flat metric. First, a simple condition is found to determine when a stretched sheet folded into a cylinder loses axial symmetry, and buckles like a flower. General expressions are next found for the energy of stretched sheet, both in forms suitable for numerical investigation, and for analytical studies in the continuum. The bulk of the paper focuses upon long thin strips of material with a linear gradient in metric. In some special cases, the energy—minimizing shapes of such strips can be determined analytically. Euler–Lagrange equations are found which determine the shapes in general. The paper closes with numerical investigations of these equations.

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I. INTRODUCTION

A characteristic rippled pattern often appears at the edges of leaves and flowers. One can even produce it by ripping in half a thin sheet of plastic, such as a plastic bag[1]. A numerical example of a stretched sheet with two generations of waves appears in Figure 1. I have found a case where the mathematics of this problem can be solved exactly.

This topic touches on an interesting basic question, which concerns the complexity of the instructions needed to generate complex natural patterns. The dominant belief among biologists is that the curling shapes of plants are produced by detailed genetic instructions telling various sections to curl up and down[2]. The physics community interested in studying patterns would prefer to assume that complex forms are produced when possible by simple rules, a view with some support also among biologists[3, 4]. It is natural to guess that by imposing non–flat metrics upon thin sheets, elasticity alone will compel them to curl spontaneously into fractal forms. However, to proceed from a guess to a detailed demonstration requires some effort.

The basic question addressed throughout this paper is the following: Suppose one has a rectangular sheet of material, described by coordinates x and y. Take this rectangular strip and impose a new metric on it so that distances dr between nearby points originally separated by (dx, dy) are given by

$$dr^2 = g_{xx}dx^2 + 2g_{xy}dxdy + g_{yy}dy^2.$$
 (1)

Embed the sheet in three–dimensional space, allowing it to curl as needed to obey this new metric. What shape does it take? The main results in the paper are the following:

- Use of results from differential geometry to find when axisymmetric sheets wrapped into cylinders are stable against buckling (Section II).
- Energy functional for a model of a thin sheet with non-flat metric as a collection of interacting mass points (Section III).
- Derivation of continuum nonlinear elastic theory from the original energy functional (Section IV)
- Definition of solvable problem in thin strip with linear gradient in metric in terms of a set of ordinary differential equations (Section V).
- Exact solution of these ordinary differential equations in terms of elementary function when certain bending and torsion angles in them are specified in specific ways (Sections VI–VIII).
- Calculation of continuum energy functional in terms of the solutions of the ordinary differential equations (Section IX).
- Derivation of Euler–Lagrange equations from continuum energy functional, demonstration that analytical solutions of previous sections correspond to energy minimizing solutions when special boundary conditions are imposed (Section X).
- Numerical solutions of Euler–Lagrange equations to find shapes of strips for more general boundary conditions (Section XI).

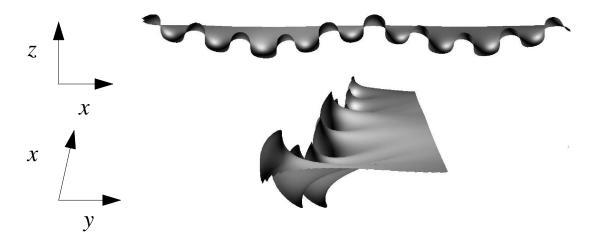


FIG. 1: Illustration of shapes produced by stretching a membrane at one end. This figure was produced by numerical minimization of Eq. 10 with $\sqrt{g_{xx}(y)} = .7 \exp(-y/12) + .3 \exp(-y/36)$. The numerical sample was 600 units long, 60 units wide, and two layers high.

Nechaev and Voituriez[5] and Henderson and Taimina [6] have carried out the only other studies along these lines of which I am aware. The first group of authors provides an exact solution using conformal mapping techniques to analyze an exponentially growing metric, while the second set of authors provides additional information on the mathematics of hyperbolic planes. Both studies are nicely complementary to the work presented here.

II. BUCKLING OF FLOWERS

It would be very appealing if one could employ differential geometry alone to resolve questions about the buckling of sheets with non–flat metrics. I have not had success along these lines for the problem depicted in Fig. 1. However, if I digress briefly to discuss flowers rather than leaves, I can make some progress, and want to mention this result before passing on to the main topic of the paper.

Suppose that one adds an additional constraint to the problem, which is that when the sheet is embedded in three dimensions, it is required to be periodic along x, with period $2\pi R_{\infty}$. One has a distorted cylinder, as shown in Figs. 2. One can use results from differential geometry to obtain a simple criterion for when such a structure loses its cylindrical symmetry and buckles. The Gauss–Bonnet theorem[7] applied to this case says that

$$\int ds \,\kappa = -\int dA K,\tag{2}$$

where κ is the geodesic curvature, and K is the Gaussian curvature. The first integral is a line integral taken around the edge of the cylinder, while the second is a surface integral.

Use x and y to refer to material coordinates. For a metric where only $g_{xx}(y)$ differs from the value expected in flat space, the Gauss–Codazzi relations[7] give

$$K = -\frac{1}{\sqrt{g_{xx}}} \frac{\partial^2 \sqrt{g_{xx}}}{\partial y^2}.$$
 (3)

Suppose that after embedding the sheet retains cylindrical symmetry. Then along the outer rim of the flower, κ is constant. One can place a bound on κ . It cannot be greater in absolute value than 1/R, where R is the radius of curvature at the rim, and it obtains this value only when the edge of the cylinder has splayed out so that the whole outer boundary shares a single tangent plane containing the boundary. Thus the left hand side of Eq. 2 obeys

$$-2\pi \le \int ds \,\kappa = 2\pi R\kappa \le 2\pi \tag{4}$$

Turning now to the right hand side of Eq. 2, one can perform the integral in cylindrical coordinates. At any point indexed by y, the radius of the cylinder is $R_{\infty}\sqrt{g_{xx}}$, where R_{∞} is the radius of curvature at the undistorted end of the flower. Along the y

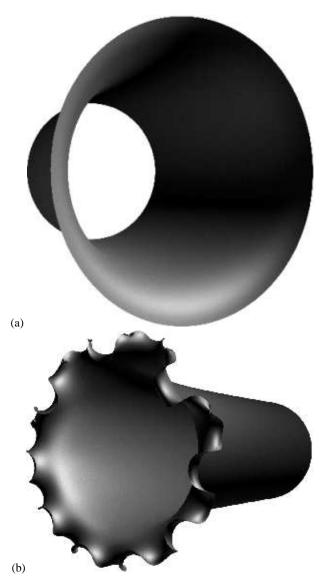


FIG. 2: Result of numerical minimization of Eq. 10 for system twisted around into a cylinder. The x coordinate of the original material travels in an angular direction around the axis, while the y coordinate of the original material describes motion along the axis. (a) The metric is $\sqrt{g_{xx}} = 1/(1 + 2\pi y/300)$, and the original radius of the cylinder is $R = 47.8 = 300/(2\pi)$. The product $R_{\infty}\kappa$ in Eq. 8 equals 1. The edge is without ripples and has splayed out to lie in a plane perpendicular to the axis. (b) Same as (a) except that the metric is $\sqrt{g_{xx}} = 1/(1 + y/2)$. The bound in Eq. 8 is exceeded by a factor of 25. There are around 22 ripples at the edge.

direction, since $g_{yy} = 1$, distances along the sheet are unchanged by introduction of the metric, and one can write the integral over the Gaussian curvature as

$$\int dA K = \int_0^L dy \int d\theta R_\infty \sqrt{g_{xx}(y)} \frac{1}{\sqrt{g_{xx}}} \frac{\partial^2 \sqrt{g_{xx}}}{\partial y^2}$$
 (5)

$$=2\pi R_{\infty} \frac{\partial \sqrt{g_{xx}}}{\partial y} \bigg|_{0}^{L} \tag{6}$$

$$= -2\pi R_{\infty} \frac{\partial \sqrt{g_{xx}(0)}}{\partial y} \tag{7}$$

Returning to Eq. 2, one has the following condition:

$$|R_{\infty} \frac{\partial \sqrt{g_{xx}(0)}}{\partial y}| \le 1. \tag{8}$$

For metrics with slopes less than this value, it is possible for a cylindrical sheet to maintain cylindrical symmetry, but when the gradient of the metric becomes too steep, a cylindrical sheet must begin to buckle.

Fig. 2(a) shows such a sheet at the point where the metric has been chosen to make the inequality in Eq. 8 into an equality, and the edges of the cylinder are splayed out as far as they can go without buckling. Fig. 2(b) provides an example of a buckled cylinder, and the result suggests that the number of ripples at the edge of a cylinder might be given roughly by the quantity on the left hand side of Eq. 8. I have not pursued this idea further.

III. ENERGY OF A THIN SHEET

I will now proceed to consider leaves, which is to say rectangular strips of stretched material. I will present some particular cases where the shapes of rectangular strips with non–flat metrics can be determined, even including very special cases where the shape is described in terms of elementary functions. To begin, I will establish in general the energy of a thin sheet with a metric that is not flat.

The energy of a thin sheet is conventionally given by the Föppl–von Kármán equations, and is the sum of two terms, one involving bending of the sheet and the other involving stretching[8, 9]. This starting point is inconvenient for three reasons. First, many formulations employ coordinate systems that are not general enough to encompass the folds and overhangs that occur in this problem. Second, I will deal with sheets that have permanently been stretched, and the equations must be generalized to encompass the deformation. Finally, I need to move easily back and forth between numerical and analytical approaches, and the numerical discretization of the conventional equations is not simple.

I avoid all these problems by starting with a physical model of a sheet based upon a discrete collection of interacting points. I derive the continuum theory from the discrete model rather than by discretizing continuum equations. Similar numerical techniques have been employed frequently in studies of crumpled paper and tethered membranes[10, 11].

Let \vec{u}_i be a collection of mass points that interact with neighbors, and at rest form a thin flat sheet. Let $\vec{\Delta}_{ij}$ be equilibrium vector displacements between neighbors i and j. When the neighbors are not in equilibrium, the distance between them is $u_{ij} = |\vec{u}_j - \vec{u}_i|$. Take the energy corresponding to locations of the mass points to be

$$\mathcal{E} = \frac{\mathcal{K}}{2a} \sum_{\langle ij \rangle} [u_{ij}^2 - \Delta_{ij}^2]^2, \tag{9}$$

where K has dimensions of energy per volume, a has dimensions of length, and the sum is over pairs of neighbors.

With Eq. 9 as a starting point, it is extremely easy to see how to modify equations of elasticity to incorporate a new metric. Write

$$\mathcal{E} = \frac{\mathcal{K}}{2a} \sum_{\langle ij \rangle} [u_{ij}^2 - \sum_{\alpha\beta} \Delta_{ij}^{\alpha} g_{\alpha\beta} \Delta_{ij}^{\beta}]^2, \tag{10}$$

where $g_{\alpha\beta}$ is the metric tensor describing deformations of the sheet. All that has happened, in short, is that the equilibrium distance between material points has changed. My hypothesis is that by specifying different metric tensors g, often ones with very simple functional forms, one can describe all the buckling cascades we have observed in the laboratory. In particular, since the plastic sheets are torn uniformly in the x direction, I will assume that the metrics g are constant in the x direction, and vary only along y. Often I will take g to be diagonal, with $g_{xx}(y)$ a smoothly varying function and $g_{yy} = 1$.

IV. CONTINUUM LIMIT

The long-wavelength deformations of the points \vec{u}_i correspond to elastic deformations of a continuous sheet. To form this correspondence, make the replacement

$$\vec{u}_j \approx \vec{u}(\vec{r}_i) + (\vec{\Delta}_{ij} \cdot \vec{\nabla}) \vec{u}(\vec{r}_i), \tag{11}$$

where \vec{r}_i is the location of \vec{u}_i in an equilibrium of Eq. 9. Define the strain tensor

$$\epsilon_{\alpha\beta} \equiv \frac{1}{2} \left[\sum_{\gamma} \frac{\partial u^{\gamma}}{\partial r_{\alpha}} \frac{\partial u^{\gamma}}{\partial r_{\beta}} - g_{\alpha\beta} \right]. \tag{12}$$

This definition reduces to the strain tensor of linear elasticity for small deformations and flat metrics, and generalizes it appropriately when deformations are large and the metric tensor g differs from the identity. In terms of the strain tensor, \mathcal{E} can be

rewritten as

$$\mathcal{E} = \frac{\mathcal{K}}{a} \sum_{i} \sum_{j \text{ nbr. of } i} \left[\sum_{\alpha \beta} \Delta_{ij}^{\alpha} \epsilon_{\alpha \beta} (\vec{r}_i) \Delta_{ij}^{\beta} \right]^2. \tag{13}$$

One has to specify a particular lattice in order to proceed further. If one takes the points \vec{u}_i to sit on two two-dimensional triangular lattices, stacked over one another as in the first stage of forming an hcp lattice of lattice constant a, the the energy takes the particular form

$$\mathcal{E} = \frac{\mathcal{K}a^{3}}{24} \sum_{i} \begin{bmatrix} 19[\epsilon_{xx} + \epsilon_{yy}]^{2} + 38[\epsilon_{xx}^{2} + \epsilon_{yy}^{2} + 2\epsilon_{xy}^{2}] \\ + 32\epsilon_{zz}^{2} + 16[\epsilon_{yy} + \epsilon_{xx}]\epsilon_{zz} \\ + 32[\epsilon_{yz}^{2} + \epsilon_{xz}^{2}] + 8\sqrt{2}[\epsilon_{yz}(\epsilon_{yy} - \epsilon_{xx}) + 2\epsilon_{xy}\epsilon_{xz}] \end{bmatrix}$$
(14)

I will employ this continuum functional later to develop analytical criteria for membrane shapes. Not all terms in it are equally important. However, before arriving at specific deformations to insert into this functional, it is difficult to tell which terms are large and which are small. I therefore begin with a geometrical description of wrinkled sheets, and then return to the question of which shapes minimize energy.

V. SOLVABLE PROBLEM AT EDGE OF STRIP

The full problem at hand is find the minimum energy state of Eq. 10 or Eq. 14 for a very long strip of finite width and very small thickness t, subject to a metric $g_{xx}(y)$ where g_{xx} has some value g_0 at y = 0 (left hand side of lower panel in Figure 1), and decreases monotonically toward 1 as y approaches the other side of the strip (right hand side of lower panel in Figure 1). It seems very unlikely that this problem has in general an exact analytical solution. It is not even clear at the outset whether minimum energy states inserted into the functional Eq. 14 should produce the energies proportional to t that would be characteristic of stretching, or energies proportional to t^3 that would be characteristic of bending.

Therefore, it is useful to find cases where the problem can be solved exactly. The motivation for the solvable problem comes by looking at the lower panel in Figure 1. Imagine making a new infinitely long strip by slicing off the material a short distance w from the left hand side. If w is small enough, then the metric g_{xx} within it should have the form of constant g_0 plus a term linear in y. If the original length of this strip along the x direction was x, now its arc length is $\sqrt{g_0}x$. However, in order to be able to join onto the rest of the strip on the right hand side, its total extension in the x direction must remain x.

Therefore, I study a thin strip whose metric is

$$\sqrt{g_{xx}(y)} = \sqrt{g_0}(1 - y/R); \quad g_{yy} = 1$$
 (15)

where R is a constant. I look for solutions subject $\vec{u}(x,y,z)$ subject to the constraint that there be some period λ for which

$$\vec{u}(x+\lambda, y, z) = \hat{x}\lambda + \vec{u}(x, y, z). \tag{16}$$

To obtain the benefits of symmetry, also take

$$y \in [-w/2, w/2] \tag{17}$$

so that the center line of the strip is at y = 0, rather than one edge.

If a long strip of material is given metric Eq. 15 and no constraint is applied, then the minimum energy configuration is easy to find. The material can relax completely by forming a ring of radius R, which curls round and round in a circle. Thus one can obtain some intuition about the problem by cutting out the paper figure in Figure 3 and pulling the ends apart horizontally. Let $\hat{r}_1(\theta)$ and $\hat{r}_2(\theta)$ be two unit vectors attached to the circular strip, where \hat{r}_1 points around the circumference, and \hat{r}_2 points along the radius. Then a class of low–energy deformations of the paper strip is produced by introducing some bend at every angle θ that rotates only around the current direction of \hat{r}_2 . Denote by $\omega(\theta)$ the rate at which the strip rotates around \hat{r}_2 as a function of the angle θ . In a laboratory frame the directions of the unit vectors are determined by

$$\frac{\partial \hat{r}_1}{\partial \theta} = \hat{r}_2 + \omega(\theta) \,\hat{r}_3 \tag{18a}$$

$$\frac{\partial \hat{r}_2}{\partial \theta} = -\hat{r}_1 \tag{18b}$$

$$\hat{r}_3 = \hat{r}_1 \times \hat{r}_2 \tag{18c}$$

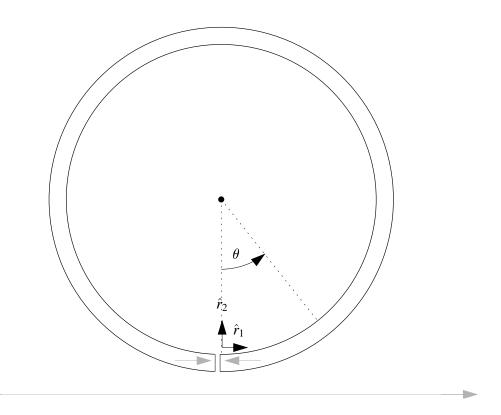


FIG. 3: Cut out the circular strip. Rotate the two ends so that the two gray arrows point 180° away from one another, and place them over the lower line so that the arrows coincide. The paper will assume a characteristic undulating shape that forms at the edges of leaves or stretched plastic, forming a solution close but not identical to that in Fig. 4 with $\sqrt{g_0} = 2$.

It is easy to check that \hat{r}_1 , \hat{r}_2 , and \hat{r}_3 remain orthonormal unit vectors under the dynamics described by Eqs. 18. This simple observation leads to the first important conclusion, which is that the constraint in Eq. 16 and the metric in Eq. 15 are incompatible if the strip undergoes bending alone. To show why, relate coordinate x and angle θ through

$$\sqrt{g_0}x = R\theta \tag{19}$$

and note that the location of the center line of the strip is given by

$$\vec{u}(x,0,0) \equiv \vec{l}(\theta) \equiv R \int_{-\theta}^{\theta} d\theta' \hat{r}_1(\theta'). \tag{20}$$

However, because of Eq. 18b, one can also write

$$\vec{l}(\theta) = -R\hat{r}_2(\theta). \tag{21}$$

Since \hat{r}_2 is a unit vector, \vec{l} cannot move more than distance 2R from its starting point. Therefore it is impossible to satisfy the constraint in Eq. 16 when the only bending permitted is around \hat{r}_2 .

VI. SOLUTION WITH CONSTANT BENDING

Despite the fact that bending about \hat{r}_2 alone cannot solve the physical problem at hand, there is a solution of Eqs. 18 needed for further mathematical development. This solution is found when ω is constant. The equations are not obviously solvable even in this simple case, since they are nonlinear, but the solution can be expressed in terms of elementary functions. Let

$$\hat{r}_1 = -\text{Re}\left[\frac{i\vec{B}e^{i\theta/\theta_0}}{\theta_0}\right] \tag{22a}$$

$$\hat{r}_2 = \vec{A} + \text{Re}[\vec{B}e^{i\theta/\theta_0}],\tag{22b}$$

where \vec{A} is real but \vec{B} is complex. With this choice of \hat{r}_1 , one immediately satisfies 18b. In order for \hat{r}_1 to be a unit vector,

$$\vec{B} \cdot \vec{B} = 0 \quad \text{and} \quad \vec{B} \cdot \vec{B}^* = 2\theta_0^2. \tag{23}$$

Now substitute Eqs. 22 into Eqs. 18c and 18a. They are satisfied if

$$\operatorname{Re}\left[\frac{B}{\theta_0^2}e^{i\theta/\theta_0}\right] = \frac{-i\omega}{4\theta_0} \left[e^{i\theta/\theta_0} \vec{B} \times A - \text{c.c.} + 2\vec{B} \times \vec{B}^* \right] + \vec{A} + \operatorname{Re}\left[Be^{i\theta/\theta_0}\right]. \tag{24}$$

Matching up coefficients of different powers of $\exp[i\theta/\theta_0]$ gives

$$\vec{A} = \frac{i\omega}{2\theta_0} \vec{B} \times \vec{B}^* \tag{25a}$$

$$\vec{B}(\theta_0^{-2} - 1) = \frac{-i\omega}{2\theta_0} \vec{B} \times \vec{A}.$$
 (25b)

Substituting Eq. 25a into Eq. 25b and using Eq. 23 gives

$$\theta_0^2 = \frac{1}{\omega^2 + 1}. (26)$$

Choosing any \vec{B} that satisfies Eq. 23, one therefore has a solution, where \vec{A} is determined by Eq. 25a. In particular, when $\hat{r}_1(0) = \hat{x}$ and $\hat{r}_2(0) = \hat{y}$, one has explicitly

$$\hat{r}_1 = \cos(\theta/\theta_0)\hat{x} + \theta_0\sin(\theta/\theta_0)\hat{y} + \theta_0\omega\sin(\theta/\theta_0)\hat{z} \tag{27a}$$

$$\hat{r}_2 = -\theta_0 \sin(\theta/\theta_0)\hat{x} + (1 - \theta_0^2 (1 - \cos(\theta/\theta_0)))\hat{y} - \omega \theta_0^2 (1 - \cos(\theta/\theta_0))\hat{z}$$
(27b)

$$\hat{r}_3 = -\theta_0 \omega \sin(\theta/\theta_0) \hat{x} - \theta_0^2 \omega [1 - \cos(\theta/\theta_0)] \hat{y} + (\theta_0^2 + [1 - \theta_0^2] \cos(\theta/\theta_0)) \hat{z}. \tag{27c}$$

and

$$l^{x} = R\sin(\theta/\theta_{0})\theta_{0} \tag{28a}$$

$$l^{y} = R(1 - \cos(\theta/\theta_0))\theta_0^2 \tag{28b}$$

$$l^{z} = R\omega(1 - \cos(\theta/\theta_0))\theta_0^2 \tag{28c}$$

VII. SOLUTION WITH CONSTANT BENDING AND TORSION

Since bending around \hat{r}_2 is not a general enough deformation of the strip to satisfy constraint Eq. 16, one must proceed next to consider torsion; that is, bends around \hat{r}_1 . Let $\dot{\phi}$ describe the rate at which twisting around \hat{r}_2 occurs, and let $\dot{\psi}$ describe the rate at which twisting around \hat{r}_1 occurs. A first case allowing exact solution is when $\dot{\phi}$ and $\dot{\psi}$ are constant. Then Eqs. 18 become

$$\frac{\partial \hat{r}_1}{\partial \theta} = \dot{\phi} \hat{r}_1 \times \hat{r}_2 + \hat{r}_2 \tag{29a}$$

$$\frac{\partial \hat{r}_2}{\partial \theta} = \dot{\psi} \hat{r}_1 \times \hat{r}_2 - \hat{r}_1. \tag{29b}$$

Define

$$\begin{pmatrix} \hat{s}_1 \\ \hat{s}_2 \end{pmatrix} = \frac{1}{\omega} \begin{pmatrix} \dot{\phi} & \dot{\psi} \\ -\dot{\psi} & \dot{\phi} \end{pmatrix} \begin{pmatrix} \hat{r}_1 \\ \hat{r}_2 \end{pmatrix} \tag{30}$$

where

$$\omega = \sqrt{\dot{\phi}^2 + \dot{\psi}^2} \tag{31}$$

Rewriting Eqs. 29 in terms of these new variables, they become

$$\frac{\partial \hat{s}_1}{\partial \theta} = \hat{s}_2 + \omega \hat{s}_1 \times \hat{s}_2 \tag{32a}$$

$$\frac{\partial \hat{s}_2}{\partial \theta} = -\hat{s}_1. \tag{32b}$$

Eqs. 18 and Eqs. 32 are identical, except that the latter involve s instead of r. Therefore, choosing a coordinate system where \hat{x}' points along $\hat{s}_1(0)$ and \hat{y}' points along $s_2(0)$, the \hat{x}' , \hat{y}' and \hat{z}' coordinates of \hat{s}_1 and \hat{s}_2 are given once again by Eqs. 27.

VIII. SOLUTION WITH OSCILLATING BENDING AND TORSION

This solution still does not solve the physical problem at hand, because the strip twists endlessly around the original \hat{x} axis. In order to avoid twisting the strip, the torsion $\dot{\psi}$ must oscillate between positive and negative values. A solution of this type can be found by taking

$$\dot{\phi} = \omega \cos \alpha \theta \tag{33a}$$

$$\dot{\psi} = \omega \sin \alpha \theta \tag{33b}$$

Now the equations describing rotations of the strip unit vectors are nonlinear and have non-constant coefficients. However, they can still be solved. Once again, define a new coordinate system with Eq. 30. Substituting Eqs. 33b into Eqs. 18 gives now

$$\frac{\partial \hat{s}_1}{\partial \theta} = \hat{s}_2(1+\alpha) + \omega \hat{s}_1 \times \hat{s}_2 \tag{34a}$$

$$\frac{\partial \hat{s}_2}{\partial \theta} = -\hat{s}_1(1+\alpha). \tag{34b}$$

Defining

$$\theta' = (1+\alpha)\theta, \quad \omega' = \omega/(1+\alpha) \quad \text{and} \quad {\theta'_0}^2 = \frac{1}{1+{\omega'}^2}$$
 (35)

one again recovers Eqs. 18, and its solution Eqs. 27 in terms of primed variables. That is,

$$\hat{s}_1 = \cos(\theta'/\theta_0')\hat{x} + \theta_0'\sin(\theta'/\theta_0')\hat{y} + \theta_0'\omega'\sin(\theta'/\theta_0')\hat{z}$$
(36a)

$$\hat{s}_2 = -\theta_0' \sin(\frac{\theta'}{\theta_0'}) \hat{x} + (1 - \theta_0'^2 (1 - \cos(\frac{\theta'}{\theta_0'}))) \hat{y} - \omega' \theta_0'^2 (1 - \cos(\frac{\theta'}{\theta_0'})) \hat{z}$$
(36b)

$$\hat{s}_{3} = -\theta_{0}'\omega'\sin(\frac{\theta'}{\theta_{0}'})\hat{x} - {\theta_{0}'}^{2}\omega'[1 - \cos(\frac{\theta'}{\theta_{0}'})]\hat{y} + ({\theta_{0}'}^{2} + [1 - {\theta_{0}'}^{2}]\cos(\frac{\theta'}{\theta_{0}'}))\hat{z}.$$
(36c)

Finally the solutions can correspond to the shapes at the edge of wrinkled sheets. To see when they are physically acceptable, one has to invert all the linear transforms and write the expression for \hat{r}_1 explicitly. It is

$$\hat{r}_1^x = \cos\alpha\theta\cos(\theta'/\theta_0') + \theta_0'\sin\alpha\theta\sin(\theta'/\theta_0') \tag{37a}$$

$$\hat{r}_1^{y} = \theta_0' \cos \alpha \theta \sin(\theta'/\theta_0') - \sin \alpha \theta (1 - {\theta_0'}^2 (1 - \cos \theta'/\theta_0')) \tag{37b}$$

$$\hat{r}_1^z = \theta_0' \omega' \cos \alpha \theta \sin(\theta'/\theta_0') + \omega' {\theta_0'}^2 \sin \alpha \theta (1 - \cos(\theta'/\theta_0'))$$
(37c)

To satisfy the constraint Eq. 16, when one integrates \hat{r}_1 to get \vec{l} , the x component must increase indefinitely, while the y and z components must oscillate. The solution acts this way if and only if

$$\alpha \theta = \pm \theta' / \theta_0' \tag{38}$$

The two signs in Eq. 38 produce identical solutions, as Eq. 37 is invariant under $\theta'_0 \to -\theta'_0$. Adopting the minus sign, one has

$$\alpha = -(1+\omega^2)/2\tag{39}$$

$$\Rightarrow \theta_0' = \frac{1 - \omega^2}{1 + \omega^2} \tag{40}$$

The vectors $\hat{r}_1 \dots \hat{r}_3$ are

$$\hat{r}_1^x = \cos^2(\alpha \theta) - \sin^2(\alpha \theta)\theta_0' \tag{41a}$$

$$\hat{r}_{1}^{y} = \left[1 - \cos(\alpha \theta)\right] \sin(\alpha \theta)\theta_{0}^{2} - \cos(\alpha \theta) \sin(\alpha \theta)\theta_{0}^{2} - \sin(\alpha \theta) \tag{41b}$$

$$\hat{r}_{1}^{z} = \left(\left[1 - \cos(\alpha \theta) \right] \sin(\alpha \theta) \theta_{0}^{\prime 2} - \cos(\alpha \theta) \sin(\alpha \theta) \theta_{0}^{\prime} \right) \omega^{\prime}$$
(41c)

$$\hat{r}_2^x = \cos(\alpha \theta) \sin(\alpha \theta) \theta_0' + \cos(\alpha \theta) \sin(\alpha \theta) \tag{41d}$$

$$\hat{r}_2^{y} = \left[\cos^2(\alpha\theta) - \cos(\alpha\theta)\right]\theta_0^{2} - \sin^2(\alpha\theta)\theta_0^{2} + \cos(\alpha\theta) \tag{41e}$$

$$\hat{r}_2^z = \left(\left[\cos^2(\alpha \theta) - \cos(\alpha \theta) \right] \theta_0^2 - \sin^2(\alpha \theta) \theta_0^2 \right) \omega^2 \tag{41f}$$

$$\hat{r}_3^x = \sin(\alpha\theta)\theta_0'\omega' \tag{41g}$$

$$\hat{r}_3^{y} = (\cos(\alpha\theta) - 1)\theta_0^{2}\omega^{2} \tag{41h}$$

$$\hat{r}_3^z = -\cos(\alpha\theta)\theta_0'^2 + \cos(\alpha\theta) + \theta_0'^2 \tag{41i}$$

The wavelength of the pattern is given by the angle through which θ travels so that the smallest nonzero Fourier component of the pattern goes through one period, meaning that θ travels through $2\pi/\alpha$. One now finds that the wavelength of the pattern $\lambda = l(2\pi/\alpha)$ is

$$\lambda = \frac{2\pi}{|\alpha|} R(1 - \theta_0') / 2 = \frac{4\pi R \omega^2}{(1 + \omega^2)^2}.$$
(42)

Since the strip travels an arclength $2\pi R/\alpha$ when θ goes through $2\pi/\alpha$, one can find $L/\lambda \equiv \sqrt{g_0}$:

$$\sqrt{g_0} = 1 + 1/\omega^2 \Rightarrow \omega = \frac{1}{\sqrt{\sqrt{g_0 - 1}}}.$$
(43)

Furthermore, using Eq. 15, one can determine the wavelength λ from

$$\frac{2\pi}{\lambda} = \frac{g_0}{2R(\sqrt{g_0} - 1)} = \frac{1}{4(\sqrt{g_0} - 1)} \left| \frac{\partial g_{xx}}{\partial y} \right|_{y=0}.$$
 (44)

Since ω and R, or equivalently λ , are the only parameters describing the solution, it has been determined completely by $\sqrt{g_0}$ and the slope of the metric. In particular, the wavelength of the pattern has been determined.

The functional forms of bending and torsion angles in Eq. 33b were simply pulled out of a hat. One must wonder whether in fact the resulting shapes minimize the energy functional in Eq. 10 with which the problem began. This question will be studied in later sections, and the answer is "sometimes." That is, there can exist energy functionals and boundary conditions for which these solutions are energy minimizers. However, for the particular functional in Eq. 14, the solutions found in this section are excellent approximations but never exact energy minimizers. Furthermore, even for those functionals whose energy they can minimize, they do so only for a restricted subset of boundary conditions one can naturally apply to a strip. Returning to the paper strip in Fig. 3, the solution described by Eq. 41 is only legitimate when the two ends of the strip are placed at a particular distance as specified in the caption. However, if one holds the strip in ones hands, it is easy to slide its ends horizontally back and forth. The energy–minimizing shapes resulting from this process certainly exist, but happen not to be in the analytical family following from Eq. 33b. Finally, one can ask what happens if one takes a strip of length $L \gg R$ and allows it to curl up into an energy–minimizing shape. Will it ever adopt a periodic structure with the period given exactly by Eq. 44? The answer to this question is probably "No," but it has not yet fully been settled

Some images of solutions from Eq. 41 appear in Fig. 4. The radius R is adjusted in each case so that the wavelength λ remains constant. Drawing a number of such pictures, one finds that there is an upper limit of $\sqrt{g_0} \approx 5.61$ for solutions of this type. When $\sqrt{g_0}$ is larger than this value, the solution collides with itself in the center of the wave and becomes self–intersecting.

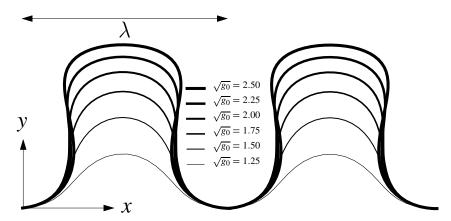


FIG. 4: Height of edge $l^y(\theta)$ versus length of edge $l^x(\theta)$ for solution given in Eq. 37 and various values of $\sqrt{g_0}$.

IX. IMPLICATIONS FOR ENERGY FUNCTIONAL

Assuming that displacements are of the form given in Eq. 37, it is possible to return to the functional Eq. 14 and decide which terms dominate the energy of a stretched sheet. From the customary theory of thin sheets one expects the energy to come from the sum of two terms. The first term is the stretching energy of the sheet, and is of order t, where t is the thickness of the sheet; in

Eq. 14, the stretching energy would arise from the terms on the top of the right hand side that involve no derivatives with respect to z. The second term is the bending energy of the sheet, and it is proportional to t^3 .

This expectation is correct. Bending and stretching energies dominate the energy functional. Which of them dominates is a subtle matter. The stretching energy is proportional to the thickness of the sheet t while the bending energy is proportional to the thickness of the sheet cubed, t^3 . In the limit of thin sheets, one would think that the stretching energy would be dominant. However, matters are not that simple, because the energy is proportional to the width of the strip w to the fifth power, w^5 . The limit I believe is most interesting in practice is

$$\left(\frac{w}{R}\right)^2 \ll \frac{t}{R} \ll \frac{w}{R}.\tag{45}$$

In this limit the bending energy proportional to t^2/R^2 dominates the energy, while the stretching energy, proportional to w^4/R^4 , can be neglected.

To obtain the energy formally, take \vec{u} in the form

$$\vec{u}(x,y,z) = \vec{l}(\theta) + \hat{r}_2 y + \hat{r}_3 z \tag{46}$$

$$+ \left[q_{zz}^{(1)} z^2 + q_{zy}^{(1)} zy + q_{yy}^{(1)} y^2 \right] \hat{r}_1 / R \tag{47}$$

$$+ \left[q_{yy}^{(2)} z^2 + q_{yy}^{(2)} zy + q_{yy}^{(2)} y^2 \right] \hat{r}_2 / R \tag{48}$$

$$+ \left[q_{77}^{(3)} z^2 + q_{7y}^{(3)} zy + q_{yy}^{(3)} y^2 \right] \hat{r}_3 / R \tag{49}$$

with θ related to x by Eq. 19.

If all the coefficients q were zero, one would have

$$\frac{\partial \vec{u}}{\partial x} = \frac{\sqrt{g_0}}{R} \frac{\partial}{\partial \theta} \left[\vec{l}(\theta) + \hat{r}_2 y + \hat{r}_3 z \right]$$
 (50a)

$$= \sqrt{g_0} \left[\hat{r}_1 + \frac{y}{R} \frac{\partial \hat{r}_2}{\partial \theta} + \frac{z}{R} \frac{\partial \hat{r}_3}{\partial \theta} \right]$$
 (50b)

$$= \sqrt{g_0} [\hat{r}_1 (1 - \frac{y}{R} - \dot{\phi} \frac{z}{R}) - \frac{z}{R} \dot{\psi} \hat{r}_2 + \frac{y}{R} \dot{\psi} \hat{r}_3]$$
 (50c)

$$\frac{\partial \vec{u}}{\partial y} = \hat{r}_2 \tag{50d}$$

$$\frac{\partial \vec{u}}{\partial z} = \hat{r}_3. \tag{50e}$$

However, the functions q are needed to allow small contractions of the membrane so as to minimize its energy. The minimizations are performed in the following way: first find the term in Eq. 14 proportional to y^2/R^2 . This term can be made to vanish through a unique choice of $q_{zy}^{(1)}$, $q_{zy}^{(2)}$, $q_{zy}^{(3)}$, $q_{yy}^{(1)}$, and $q_{yy}^{(2)}$, where $q_{zy}^{(1)} = -\dot{\psi}$, $q_{zy}^{(2)} = -2q_{yy}^{(3)}$, and the others vanish. The next term to consider is the term proportional to z^2/R^2 , as this is larger than the term proportional to z^4/R^4 . Minimizing the term proportional to z^2 determines the following values for the variables q:

$$q_{zz}^{(1)} = \sqrt{2}\dot{\psi}/4, \quad q_{zy}^{(1)} = -\dot{\psi}, \quad q_{yy}^{(1)} = 0$$
 (51a)

$$q_{zz}^{(2)} = \sqrt{2}\dot{\phi}/6, \quad q_{zy}^{(2)} = \dot{\phi}/3, \quad q_{yy}^{(2)} = 0$$
 (51b)

$$q_{zz}^{(3)} = \dot{\phi}/12, \qquad q_{zy}^{(3)} = 0, \qquad q_{yy}^{(3)} = -\dot{\phi}/6.$$
 (51c)

Inserting the expressions for $\epsilon_{\alpha\beta}$ into Eq. 10, one has the following results. Since there are are only two layers in the z direction, carry out that sum explicitly, but convert sums in the x and y direction to integrals, using the fact that the area per particle is $\sqrt{3/4}a^2$. The leading term proportional to the sheet thickness is of the form

$$\mathcal{K}\frac{aLw^5}{R^4}\frac{1}{L}\int_0^L dx \quad [\text{many terms!}]$$
 (52)

but the term that dominates the energy when inequalities Eq. 45 hold is

$$\mathcal{K}\frac{at^{2}Lw}{R^{2}}\frac{1}{L}\int_{0}^{L}dx\frac{\left(3g_{0}\dot{\psi}^{2}+2g_{0}^{2}\dot{\phi}^{2}\right)}{\sqrt{3}}.$$
 (53)

When Eq. 53 dominates the energy, the strip is wide enough so that $w \gg t$, but not so wide that it is favorable to begin buckling or forming fine structure in the y direction.

The various powers of g_0 appearing in Eq. 53 arise because the microscopic lattice underlying the calculation has been stretched, and its elastic properties made anisotropic by the new metric. The calculations are producing a specific description for how stretching material in the x direction by a factor of $\sqrt{g_0}$ changes its elastic properties. This description is unlikely to apply to the polymeric materials in which most experiments are conducted. For this reason, direct numerical studies of the bending of strips have been carried out in such a way that g_0 in Eq. 53 should be set to 1. Rather than starting with a strip of length $L = \lambda$, stretching it to length $\lambda \sqrt{g_0}$, and then introducing a gradient in the metric along y, the numerical studies start with a strip of length L, introduce a linear gradient of slope 1/R in the metric along y, and then constrain the ends of the strip to sit at distance $\lambda = L/\sqrt{g_0}$. That is, the constraint at the ends of the strip instead of Eq. 16 is

$$\vec{u}(x+L,y,z) = \hat{x}\lambda + \vec{u}(x,y,z). \tag{54}$$

The energy of a strip prepared in this way is

$$\mathcal{K}\frac{at^2Lw}{R^2}\frac{1}{L}\int_0^L dx \frac{\left(3\dot{\psi}^2 + 2\dot{\phi}^2\right)}{\sqrt{3}}.$$
 (55)

X. EULER-LAGRANGE EQUATIONS FOR MINIMUM OF ELASTIC ENERGY

The solution of Eq. 37 was obtained by assuming that $\dot{\phi}$ and $\dot{\psi}$ have the forms given in Eq. 33b. These choices for the torsion and bending of the strip did make it possible to find a shape for the strip that was consistent with the constraint in Eq. 16, and with a few other physical considerations.

Varying $\dot{\phi}$ and $\dot{\psi}$ away from the arbitrary forms obtained by guessing leads to additional solutions, generally of lower energy. Depending upon the precise form of the continuum energy functional, and the boundary conditions to which the problem is subjected, the solutions in Eq. 41 can turn out to be exact, and in many other cases are excellent approximations to exact solutions.

To look for energy-minimizing shapes, I will use Eq. 55 to express the energy of a strip, and will rewrite it as

$$\mathcal{E} = \int d\theta \, \frac{C_1}{2} \dot{\phi}^2 + \frac{C_2}{2} \dot{\psi}^2. \tag{56}$$

If C_1 is equal to C_2 then the analytic solution of Eq. 41 can minimize the energy for certain boundary conditions. According to Eq. 55, in fact the constants are in the ratio of 2 to 3, and since they are not equal, the solution is approximate, although for some boundary conditions the approximation is excellent.

In explaining the calculations leading to these conclusions, it is useful to derive the equations of motion Eq. 18 in a different way. Let $\hat{r}_1(\theta) \dots \hat{r}_3(\theta)$ be orthonormal vectors that evolve as a function of θ . Letting primes denote derivatives with respect to θ , one has in general that

$$\hat{r}_i' = \sum_j (\hat{r}_i' \cdot \hat{r}_j) \hat{r}_j \tag{57}$$

This equation of motion is subject to a number of constraints. First, each vector must retain unit magnitude. Therefore

$$|\hat{r}_i|^2 = 0 \Rightarrow \hat{r}_i' \cdot \hat{r}_i = 0. \tag{58a}$$

Second, since the vectors are orthogonal, for $i \neq j$

$$\hat{r}_i \cdot \hat{r}_j = 0. \tag{58b}$$

Third, the condition that \hat{r}_1 and \hat{r}_2 curl around one another because of the gradient of metric, but otherwise be rigid against twisting around \hat{r}_3 means that

$$\hat{r}_1 \cdot \hat{r}_2' = -1$$
 or $\hat{r}_1' \cdot \hat{r}_2 = 1$. (58c)

There are no constraints upon $\hat{r}_3 \cdot \hat{r}'_1$ or $\hat{r}_3 \cdot \hat{r}'_2$; define these functions to be

$$\dot{\phi} \equiv \hat{r}_3 \cdot \hat{r}_1' \tag{59a}$$

$$\dot{\psi} \equiv \hat{r}_3 \cdot \hat{r}_2'. \tag{59b}$$

Then Eqs. 18 follow from Eq. 57 just by employing Eqs. 58 and 59.

Once vectors $\hat{r}_1 \dots \hat{r}_3$ are subject to the constraints in Eqs. 58, the energy Eq. 56 can be rewritten, apart from an overall additive constant, as

$$\mathcal{E} = \int d\theta \frac{C_1}{2} |\hat{r}_1'|^2 + \frac{C_2}{2} |\hat{r}_2'|^2 \tag{60}$$

Imposing the final constraint

$$\int_0^{\theta_f} d\theta \hat{r}_1 = \lambda \hat{x},\tag{61}$$

one needs to extremize the functional

$$\int d\theta \frac{C_1}{2} |\hat{r}_1'|^2 + \frac{C_2}{2} |\hat{r}_2'|^2 + \vec{p} \cdot \hat{r}_1(\theta) - \frac{1}{2} u_1(\theta) |\hat{r}_1^2| - u_2(\theta) \hat{r}_1 \cdot \hat{r}_2 - \frac{1}{2} u_1(\theta) |\hat{r}_2^2| - u_4(\theta) \hat{r}_1 \cdot \hat{r}_2'. \tag{62}$$

The constant vector \vec{p} and the functions $u_1(\theta) \dots u_4(\theta)$ are Lagrange multipliers enforcing the constraints.

There may be some concern over the legitimacy of using equations of constraint in order to simplify the form of the energy functional before carrying out the variation. The results will not be reported here in detail, but the entire variational procedure has been repeated using $\vec{r}_3 \cdot \vec{r}_1'$ and $\vec{r}_3 \cdot \vec{r}_2'$ as the definitions of $\dot{\phi}$ and $\dot{\psi}$, and enforcing directly the constraints $\vec{r}_1' = \dot{\phi}\vec{r}_1 \times \vec{r}_2 + \vec{r}_2$ and $\vec{r}_2' = \dot{\psi}\vec{r}_1 \times \vec{r}_2 - \vec{r}_1$. The algebra is more involved than what is reported below, but the results are identical.

Taking the variation of the functional Eq. 62 with respect to \vec{r}_1 and \vec{r}_2 gives

$$-C_1\vec{r}_1'' + \vec{p} - u_1\vec{r}_1 - u_2\vec{r}_2 - u_4\vec{r}_2' = 0$$
(63a)

$$-C_2\vec{r}_2'' - u_2\vec{r}_1 - u_3\vec{r}_2 + u_4\vec{r}_1' + u_4'\vec{r}_1 = 0.$$
(63b)

Now employing Eq. 18, one can rewrite Eqs. 63b as

$$C_1[-(\dot{\phi}^2+1)\vec{r}_1 - \dot{\phi}\dot{\psi}\vec{r}_2 + [\dot{\phi}'+\dot{\psi}]] + u_1\vec{r}_1 + u_2\vec{r}_2 + u_4[\dot{\psi}\vec{r}_3 - \vec{r}_1] = \vec{p}$$
(64a)

$$C_2[-\dot{\phi}\dot{\psi}\vec{r}_1 - (\dot{\psi}^2 + 1)\vec{r}_2 + (\dot{\psi}' - \dot{\phi})\vec{r}_3] + u_2\vec{r}_1 + u_3\vec{r}_2 - u_4[\dot{\phi}\vec{r}_3 + \vec{r}_2] - u_4'\vec{r}_1 = 0$$
(64b)

One obtains six scalar equations by taking the dot product of Eqs. 64 with \hat{r}_1 , \hat{r}_2 and \hat{r}_3 in turn, using the fact that they are orthonormal once all the Lagrange multipliers are chosen properly. The only time that u_1 appears is when one takes the dot product of Eq. 64a with \hat{r}_1 , and similarly the only time that u_3 appears is when one takes the dot product of Eq. 64b with \hat{r}_2 . Therefore, u_1 and u_3 can be taken to be whatever is needed to satisfy these two equations, which need not even be written down. The remaining four equations are

$$u_2 = C_2 \dot{\phi} \dot{\psi} + u_4' \tag{65a}$$

$$u_4\dot{\phi} = C_2[\dot{\psi}' - \dot{\phi}] \tag{65b}$$

$$u_2 = \vec{p} \cdot \hat{r}_2 + C_1 \dot{\phi} \dot{\psi} \tag{65c}$$

$$u_4\dot{\psi} = \vec{p}\cdot\hat{r}_3 - C_1[\dot{\phi}' + \dot{\psi}] \tag{65d}$$

Eliminating u_2 allows one finally to write a complete set of Euler–Lagrange equations determining minima of the energy:

$$u_4' = \vec{p} \cdot \hat{r}_2 + [C_1 - C_2]\dot{\phi}\dot{\psi}$$
 (66a)

$$\dot{\psi}' = u_4 \dot{\phi} / C_2 + \dot{\phi} \tag{66b}$$

$$\dot{\phi}' = \left[\vec{p} \cdot (\hat{r}_1 \times \hat{r}_2) - u_4 \dot{\psi}\right] / C_1 - \dot{\psi} \tag{66c}$$

$$\hat{r}_1' = \dot{\phi}(\hat{r}_1 \times \hat{r}_2) + \hat{r}_2 \tag{66d}$$

$$\hat{r}_2' = \dot{\psi}(\hat{r}_1 \times \hat{r}_2) - \hat{r}_1. \tag{66e}$$

Notice that all the terms in Eq. 41 have definite parity under $\theta \to -\theta$. Assuming that all energy minima have the same symmetry, \vec{p} must point along x, $\dot{\phi}$ and u_4 must be even, and $\dot{\psi}$ must be odd. The solutions of Eq. 66 are indexed by four constants, the initial values of $\dot{\phi}$ and u_4 , and by p_x , and finally the angle θ_f to which the solutions are to be integrated.

To this point, the manipulations make use of Eq. 18, but do not use any features of the particular forms of $\dot{\phi}$ and $\dot{\psi}$ chosen in Eq. 33b. Do $\dot{\phi}$ and $\dot{\psi}$ chosen according to Eq. 33b solve Eqs. 66? Inserting these forms of $\dot{\phi}$ and $\dot{\psi}$, one quickly finds that

$$u_4 = C_2(\alpha - 1)$$
, and $u_4' = 0$ (67)

$$\Rightarrow (C_2 - C_1)\omega^2 \sin\alpha\theta \cos\alpha\theta = \vec{p} \cdot \hat{r}_2 \tag{68}$$

$$(C_1 - C_2)(1 - \alpha)\omega \sin \alpha \theta = \vec{p} \cdot \hat{r}_3. \tag{69}$$

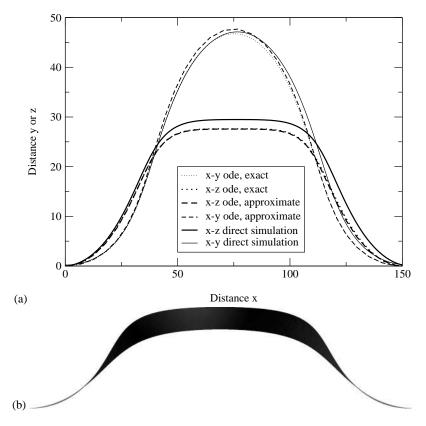


FIG. 5: (a) Comparison of three separate methods to find shape of strip. The shape indicated as the exact solution results from solving Eq. 66 with $u_4(0) = -9.26053$, $\dot{\phi}(0) = 1.8129$, and $p_x = -4.4599$, leading to a solution with $\sqrt{g_0} = 1.333$ and $\theta_f = 3.1416$. The shape indicated as an approximate solution comes from evaluating Eq. 41 for $\sqrt{g_0} = 4/3$, $\theta_f = \pi$, and $R = 63.7 = 200/\pi$. Finally, the shape resulting from direct simulation is obtained as a result of a direct numerical minimization of Eq. 10, for a strip 201 atoms long in the *x* direction, and 12 layers wide in the *y* direction, constrained to have horizontal period of 150 so that $\sqrt{g_0} = 4/3$, and R = 63.7. The energy predicted by the function Eq. 55 with L = 200 and $w = 11\sqrt{3/4}$, and the approximate forms for $\dot{\phi}$ and $\dot{\psi}$ from Eq. 33b is $1.3571Ka^3$. The corresponding calculation using exact results from Euler–Lagrange equations in Eq. 66 is $1.3557Ka^3$ The energy found from direct numerical minimization of Eq. 10 is $1.377Ka^3$. (b) Three–dimensional visualization of the final shape resulting from the numerical minimization.

Turning to Eq. 41, one finds that \vec{p} must point only in the \hat{x} direction and

$$(C_2 - C_1)\omega^2 = p_x(1 + \theta_0')$$
(70a)

$$(C_1 - C_2)(1 - \alpha)\omega = p_x \theta_0' \omega'. \tag{70b}$$

Using Eq. 38 to relate θ'_0 and α , and Eq. 35, one finds

$$C_1 = C_2 \tag{71}$$

or

$$\alpha = 1 + \omega^2. \tag{72}$$

Unfortunately, Eq. 72 contradicts Eq. 39. Therefore, the functional forms in Eq. 33b minimize the energy only if $C_1 = C_2$, and otherwise do not.

XI. NUMERICAL SOLUTIONS

The Euler-Lagrange equations in Eq. 66 constitute a closed set of equations that determine all energy minima. It is surprisingly tricky to employ them for this purpose, and I have only partial results to report. Searching for periodic solutions of Eqs. 66 proceeds in the following way: choose a value of p_x , and the initial values of $u_4(\theta)$ and $\phi(\theta)$. Integrate forward in θ until

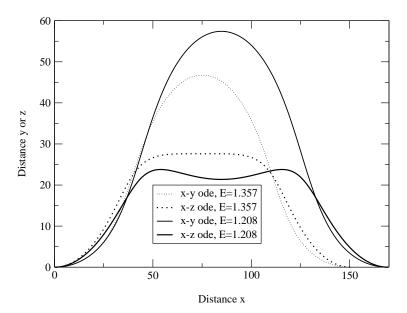


FIG. 6: Comparison of the lowest–energy shape from Fig. 6 with the lowest–energy shape found numerically by varying the initial conditions in Eqs. 66 while requiring $L/\lambda = \sqrt{g_0} = 4/3$ and scaling the solutions by $R = 200/\pi$. The longer–wavelength solution has energy 1.2075 $\mathcal{K}a^3$. Lower–energy solutions probably exist, but my numerical routines have been unable to track beyond this point.

reaching an angle θ_f at which $\dot{\psi}(\theta_f)$ vanishes. It will not in general be true that the solution at this point obeys Eq. 16, or that \hat{r}_1 and \hat{r}_2 point along the \hat{x} and \hat{y} axes as they must. However, by adjusting p_x alone, all of these boundary conditions can be achieved at once. One still has the two initial values $\dot{\phi}(0)$ and $u_4(0)$ to vary. These allow one to vary θ_f and λ independently.

I have used a shooting algorithm to find solutions along these lines. The process works, but is very delicate. Unless all constants are chosen very near to their final values, the solutions of the equations fly off into completely unphysical regions. The analytical solutions of Section VIII are indispensable for the purpose of locating good starting points for shooting solutions. Once a few solutions have been located, additional solutions can be obtained by using extrapolation to predict new values for initial conditions and searching in a narrow range of parameter values around the extrapolated predictions.

When $C_1 = C_2$ in Eq. 56, the solution in Eq. 41 does indeed minimize the energy when the wavelength of the pattern is constrained to obey Eq. 44. However, even when $C_1 = C_2$, the lowest–energy solution for a given $\sqrt{g_0}$ is not given by demanding that the wavelength correspond to this analytically tractable value. For a given $\sqrt{g_0} = L/\lambda$, energies per length around 25% lower are obtained by allowing λ and L to increase; the energy decreases monotonically as they become larger. The shooting procedure eventually becomes unable to track additional solutions, and it is not yet possible to say whether there are any energy minima to be found at particular wavelengths.

When $C_1 = 2$ and $C_2 = 3$ in Eq. 56, as it should for an isotropic two–dimensional material in accord with Eq. 55, the solution in Eq. 41 provides a good enough approximation to the true solution that the numerical routines are able to converge by using its properties as a starting point. The comments of the paragraph above otherwise do not need to be changed. As shown in Fig. 5, when boundary conditions corresponding to a solution obeying Eq. 44 are imposed upon the Euler–Lagrange equations, their solution is almost indistinguishable from Eqs. 41. However for the same value of $\sqrt{g_0}$, the longer the value of the wavelength λ , the lower the energy. There is no indication of an energy minimum at a periodic solution. Fig. 6 compares the lowest–energy solution I have found for $\sqrt{g_0} = 4/3$ with the solution displayed previously in Fig. 5.

An alternate way to check whether periodic solutions are favorable is to carry out direct numerical minimization in large systems. Returning to the numerical setting of Fig. 5, I triple the length of the system, fix the boundaries at distance 3λ , leave all else as before, and minimize the energy Eq. 10. The strip develops a composite structure in which the long wavelength is 3 times the short wavelength, and with an energy that is somewhat less than 3 times the energy of the structure 1/3 as long, as shown in Fig. 7.

Thus it is possible that the lowest-energy state for the simple metric in Eq. 15 involves a cascade of oscillations on many scales, but the matter is not yet settled. Many other problems remain to be addressed, including the application of the ideas obtained here to the more complex metrics illustrated in Fig. 1.

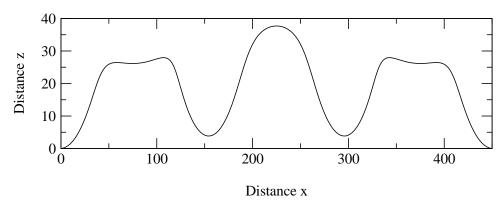


FIG. 7: Numerical minimization of the same system described in Fig. 5, but with λ and L three times as long. The total energy is $4.08Ka^3$, which is less than the value $3 \times 1.37Ka^3$ one would obtain simply by pasting together three copies of the numerical solution in Fig. 5. This computation provides an additional hint that periodic solutions do not minimize the energy.

Acknowledgments

Eran Sharon discussed these problems with me for weeks, and spent months carrying out experiments, before I finally began to see there was something interesting to be done. Lorenzo Sadun helped us see what could be gained from differential geometry. Thanks to Ralf Stephan for pointing out Ref. [5]. Financial support from the National Science Foundation (DMR-9877044 DMR-0101030) is gratefully acknowledged.

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